#### NeuroImage xxx (2012) xxx-xxx

Contents lists available at SciVerse ScienceDirect

## NeuroImage

journal homepage: www.elsevier.com/locate/ynimg



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#### ARTICLE INFO

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6	Article history:
7	Accepted 20 September 2012
8	Available online xxxx
<b>9</b> Q	
12	Keywords:
13	MRI
14	Cortical thickness
15	Central surface
16	Surface reconstruction
17	Brain
18	Phantom
19	Validation

## ABSTRACT

Several properties of the human brain cortex, e.g., cortical thickness and gyrification, have been found to cor- 20 relate with the progress of neuropsychiatric disorders. The relationship between brain structure and function 21 harbors a broad range of potential uses, particularly in clinical contexts, provided that robust methods for the 22 extraction of suitable representations of the brain cortex from neuroimaging data are available. One such rep- 23 resentation is the computationally defined central surface (CS) of the brain cortex. Previous approaches to 24 semi-automated reconstruction of this surface relied on image segmentation procedures that required man- 25 ual interaction, thereby rendering them error-prone and complicating the analysis of brains that were not 26 from healthy human adults. Validation of these approaches and thickness measures is often done only for 27 simple artificial phantoms that cover just a few standard cases. Here, we present a new fully automated 28 method that allows for measurement of cortical thickness and reconstructions of the CS in one step. It uses 29 a tissue segmentation to estimate the WM distance, then projects the local maxima (which is equal to the 30 cortical thickness) to other GM voxels by using a neighbor relationship described by the WM distance. This 31 projection-based thickness (PBT) allows the handling of partial volume information, sulcal blurring, and 32 sulcal asymmetries without explicit sulcus reconstruction via skeleton or thinning methods. Furthermore, 33 we introduce a validation framework using spherical and brain phantoms that confirms accurate CS construc- 34 tion and cortical thickness measurement under a wide set of parameters for several thickness levels. The re- 35 sults indicate that both the quality and computational cost of our method are comparable, and may be 36 superior in certain respects, to existing approaches. 37

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#### 43 Introduction

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The cerebral cortex is a highly folded sheet of gray matter (GM) that 44 lies inside the cerebrospinal fluid (CSF) and surrounds a core of white 45matter (WM). Besides the separation into two hemispheres, the cortex 46 47 is macroscopically structured into outwardly folded gyri and inwardly folded sulci (Fig. 1). The cortex can be described by the outer surface 48 (or boundary) between GM and CSF, the inner surface (or boundary) 49between GM and WM, and the central surface (CS) (Fig. 1). Cortical 5051structure and thickness were found to be an important biomarker for normal development and aging (Fjell et al., 2006; Sowell et al., 2004, 522007) and pathological changes (Kuperberg et al., 2003; Rosas et al., 53542008; Sailer et al., 2003; Thompson et al., 2004) in not only humans, but also other mammals (Hofman, 1989; Zhang and Sejnowski, 2000). 55 Although MR images allow in vivo measurements of the human 5657brain, data is often limited by its sampling resolution that is usually around 1 mm<sup>3</sup>. At this resolution, the CSF is often hard to detect in 58

around 1 mm<sup>3</sup>. At this resolution, the CSF is often hard to detect in sulcal areas due to the partial volume effect (PVE). The PVE comes into effect for voxels that contain more than one tissue type and have

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1053-8119/\$ – see front matter © 2012 Published by Elsevier Inc. http://dx.doi.org/10.1016/j.neuroimage.2012.09.050 an intensity gradient that lies somewhere between that of the pure tis- 61 sue classes. Normally, the PVE describes the boundary with a sub-voxel 62 accuracy, but within a sulcus the CSF volume is small and affected by 63 noise, rendering it difficult to describe the outer boundary in this region 64 (blurred sulcus, Fig. 2). Thus, to obtain an accurate thickness measure- 65 ment, an explicit reconstruction of the outer boundary based on the 66 inner boundary is necessary. This can be done by skeleton (or thinning) 67 methods or alternatively by model-based deformation of the inner sur- 68 face. Skeleton-based reconstruction of the outer boundary is used by 69 CLASP (Kim et al., 2005; Lee et al., 2006a, 2006b; Lerch and Evans, 70 2005), CRUISE (Han et al., 2004; Tosun et al., 2004; Xu et al., 1999), 71 Caret (Van Essen et al., 2001), the Laplacian approach (Acosta et al., 72 2009; Haidar and Soul, 2006; Hutton et al., 2008; Jones et al., 2000; 73 Rocha et al., 2007; Yezzi and Prince, 2003), and other volumetric 74 methods (Eskildsen and Ostergaard, 2006, 2007; Hutton et al., 2008; 75 Lohmann et al., 2003). Methods without sulcal modeling will tend to 76 overestimate thickness in blurred regions (Jones et al., 2000; 77 Lohmann et al., 2003) or must concentrate exclusively on non-blurred 78 gyral regions (Sowell et al., 2004). Alternatively, cortical thickness 79 may be estimated via deformation of the inner surface (FreeSurfer 80 (Dale et al., 1999; Fischl and Dale, 2000), DiReCT (Das et al., 2009), 81 Brainvoyager (Kriegeskorte and Goebel, 2001), Brainsuite (Shattuck 82 and Leahy, 2001; Zeng et al., 1999) or coupled surfaces (ASP (Kabani 83 et al., 2001; MacDonald et al., 2000). Considering that the accuracy of 84

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eases such as Alzheimer's

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Fig. 1. The cortex: Shown is an illustration of the cortical macro- and microstructure. The cerebral cortex is a highly folded sheet of gray matter (GM) that lies inside the cerebrospinal fluid (CSF) and surrounds a core of white matter (WM). Inwardly folded regions are called sulci whereas outwardly folded areas are denoted as gyri. There are three common surfaces to describe this sheet: the outer surface, the inner surface, and the central surface (CS). The CS allows a better representation of the cortical GM sheet and improved accuracy of cortical surface measurements. Cortical thickness describes the distance between the inner surface and the outer surface and is related to cortical development and dis-

the measurement depends strongly upon the precision of cortical surface reconstruction at the inner and outer boundaries, and that the computation time is often related to the anatomical accuracy of the reconstruction, such measurements may require intensive computational resources in order to achieve the final measurement.

Here, we present a new volume-based algorithm, PBT (Projection Based Thickness), that uses a projection scheme which considers blurred sulci to create a correct cortical thickness map. For validation, we compare PBT to the volumetric Laplacian approach and the surface-based approach included in the FreeSurfer (v 4.5) software package. If the results from PBT are approximately the same as that



**Fig. 2.** Main flow diagram: Shown is a flow diagram of the pre-processing steps of the CS and thickness estimation. A tissue segmentation algorithm (from VBM8) is used to create a segmentation image SEG from an anatomical image. This segmentation image is used for (manual) separation of the cortex into two hemispheres and removal of the cerebellum with hindbrain, resulting in a map SEP. This map creates the map SEG<sub>PF</sub>, a masked version of SEG with filled ventricular and subcortical regions. Both approaches used an interpolated version of the map SEG<sub>PF</sub> to create a CS with a cortical thickness value of each vertex. The red subfigure shows blurred sulcal regions, where CSF voxels were detected as GM due to noise removal included in the segmentation algorithm. These blurred regions need an explicit reconstruction of the outer surface for the Laplacian approach (Fig. 4), whereas PBT uses an inherent scheme to account for these regions (Fig. 3). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

achieved by FreeSurfer and a significant improvement over the 96 Laplacian approach, it may be concluded that PBT is a highly accurate 97 volume-based method for measuring cortical thickness. For situations 98 in which extensive surface analysis is not required, PBT would allow 99 the exclusion of cortical surface reconstruction steps with no loss of 100 accuracy for cortical thickness measurements. 101

We also propose a suite of test cases using a variety of phantoms 102 with different parameters as a suggestion for how a cortical thickness 103 measurement approach could be rigorously tested for validity and 104 stability. Previously published validation approaches that used a 105 spherical phantom (Acosta et al., 2009; Das et al., 2009) often 106 addressed only one thickness and curvature (radii) of the inner and 107 outer boundary. The problem is that the measure may work well for 108 this special combination of parameters, but performance can change 109 for different radii. Another limitation is that this phantom describes 110 only areas where the CSF intensity is high enough, but most sulcal 111 areas (that comprise over half of the human cortex) are blurred. 112 Our test suite directly addresses these concerns. 113

The cortical thickness map may also be subsequently used to gen- 114 erate a reconstruction of the CS. Compared to the inner or outer sur- 115 face, the CS allows a better representation of the cortical sheet (Van 116 Essen et al., 2001), since neither sulcal or gyral regions are over- or 117 underestimated (Scott and Thacker, 2005). As the average of two 118 boundaries, it is less error-prone to noise and it allows a better map- 119 ping of volumetric data (Liu et al., 2008; Van Essen et al., 2001). Gen- 120 erally, a surface reconstruction allows surface-based analysis that is 121 not restricted to the grid and allows metrics, such as the gyrification 122 index (Schaer et al., 2008) or other convolution measurements 123 (Luders et al., 2006; Mietchen and Gaser, 2009; Rodriguez-Carranza 124 et al., 2008; Toro et al., 2008), that can only be measured using sur- 125 face meshes (Dale et al., 1999). It provides surface-based smoothing 126 that gives results superior to that obtained from volumetric smooth- 127 ing (Lerch and Evans, 2005). Furthermore, surface meshes allow a 128 better visualization of structural and functional data, especially 129 when they are inflated (Fischl et al., 1999) or flattened (Van Essen 130 and Drury, 1997). Due to these considerations, we have explored 131 the quality of the cortical surface reconstructions. 132

#### Material and methods

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We start with a short overview about the main steps of our method and the Laplacian approach; algorithmic details are separately described in the following subchapters. 136

137 MRI images are first segmented into different tissue classes using 138 VBM8<sup>2</sup>(Fig. 2; see Segmentation). This segmentation is used for 139(manual) separation of the hemispheres and removal of the cerebel-140 lum with hindbrain, resulting in a map SEP. This map creates the map SEG<sub>PF</sub>, a masked version of SEG with filled ventricular and subcortical 141 regions. To take into account the small sulci with thicknesses of 142around 1 mm, SEG<sub>PF</sub> was linearly interpolated to  $0.5 \times 0.5 \times 0.5$  mm<sup>3</sup> 143(Hutton et al., 2008; Jones et al., 2000). 144

145For each GM voxel, the distance from the inner boundary was estimated within the GM using a voxel-based distance method (see 146147Distance measure). The result is a WM distance map WMD, whose 148values at the outer GM boundary represent the GM thickness. These values at the outer boundary were then projected back to the inner 149150boundary, resulting in a GM thickness map GMT. The relation between the WMD and GMT maps creates the percentage position map PP that is 151used to create the CS at the 50% level (see Projection-based thickness). 152

As a basis of comparison, we constructed another CS using the Laplacian-based thickness measure (Jones et al., 2000) on the filled tissue segmentation map to create another set of GMT and PP maps. Q2156 This method requires an explicit sulcal reconstruction step (Bouix and Kaleem, 2000) (see Laplacian-based thickness).

A topology correction based on spherical harmonics was used to correct the topology of the surfaces generated with the PBT and the Q3160 Laplacian approach (Yotter et al., in press).

For validation, a set of spherical (SP; see Spherical phantoms) and brain phantoms (BP; see Brain phantoms) with uniform thickness were used to simulate different curvature, thickness, noise, and resolution levels. Since thickness and the location of the cortical surfaces were known, the two data sets could be directly compared. For thickness RMS error, the measured thickness was reduced by the expected thickness.

In addition to the spherical phantoms with equal thickness, we used 167 168the Collins brain phantom with different noise levels<sup>3</sup>(Collins et al., 169 1998) and a real data set of 12 scans of the same subject of our database 170(see Real data) to compare our results to FreeSurfer 4.5. Because the real thickness of both data sets is unknown, we compare the results of each 171 tested surface to the results of a surface that was generated on an aver-172aged scan. RMS error was calculated for all vertices of a surface, includ-173174 ing vertices of the filled subcortical regions and the corpus callosum. For these data sets, we evaluated the number of topological errors using 175Caret. To count the number of defects, the uncorrected CS was used 176 for PBT and Laplacian, whereas for FreeSurfer the uncorrected WM 177 surface was used. The CS of FreeSurfer was generated via Caret, where 178 the positions of CS vertices were given by the mean positions of corre-179 sponding vertices of the inner and outer surface. Thickness RMS error 180 was estimated based on the original FreeSurfer thickness results. 181

#### 182 Segmentation

To achieve exact and stable results for thickness measures, the seg-183mentation plays an important role. In principle, any segmentation for 184GM, WM, and CSF can be used. The segmentation could be binary 185maps, but to achieve more stable and exact results, it is important to 186187 use probability maps that are able to describe the boundary positions 188 with sub-voxel accuracy (Hutton et al., 2008). Furthermore, inclusion 189 of an additional noise removal step increases the accuracy and stability of the thickness measurements (Coupe et al., 2008). We used the VBM8 190<sup>4</sup>toolbox (revision 388) for SPM8<sup>5</sup>(Ashburner and Friston, 2005) (re-191 vision 4290) for segmentation of all T1 images, which includes an 192optimized Rician non-local mean (ORNLM) (Coupe et al., 2008) and 193 a Gaussian Hidden Markov Random Field (GHMRF) (Cuadra et al., 194

<sup>5</sup> http://www.fil.ion.ucl.ac.uk/spm/

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2005) filter for noise reduction (NR). The probability tissue maps 195 CSF, GM, and WM are combined in one probability image SEG 196 (Tohka et al., 2004). Pure tissue voxels are coded with integers 197 (background = 0, CSF = 1, GM = 2, WM = 3), whereas values be- 198 tween integers describe the percentile relation between the tissues. 199 For example, a voxel with an intensity of 2.56 contains 44% GM and 200 56% WM and a value of 1.92 contains 92% GM and 8% CSF. Hence, tis- 201 sue boundaries are at 0.5 between background and CSF, 1.5 between 202 CSF and GM and 2.5 between GM and WM. Note that this map is only 203 able to describe two tissue classes per voxel. However, this does not 204 degrade our analyses, because most anatomical images do not pro- 205 vide more information for the segmentation. Furthermore, most re- 206 gions with no GM layer, such as the brainstem or the near the 207 ventricles, are cut or filled and thus are not included in the analysis. 208

To take into account the asymmetrical structures, we used the 210 Eikonal equation with a non-uniform speed function F(x) to find the 211 closest boundary voxel B(x) of a GM voxel x without passing a differ-212 ent boundary. To allow sub-voxel accuracy, the normalized vector be-213 tween B(x) and x is used to find a point G(x) between x and B(x). The 214 intensity gradient between B(x) and G(x) allows a precise estimation 215 of the boundary. 216 to the boundary. 217

In a more formal way, we solved the following Eikonal equation: 218

$$F(x) \|\nabla D_{\text{Ei}}(x)\| = 1, \quad \text{for} \quad x \in \Omega,$$
  
$$D_{\text{Fi}}(x) = 0, \quad \text{for} \quad x \in \Gamma,$$
  
(1)

where *x* is a voxel,  $\Omega$  is given by the GM,  $\Gamma$  is the object (the WM or **220** the CSF and background),  $D_{\text{Ei}}$  is the Eikonal distance map, and F(x) 221 is the non-uniform speed map ( $F_{\text{WM}}(x)$  for the WM distance and 222  $F_{\text{CSF}}(x)$  for the CSF distance) that is given by the image intensity of 223 SEG<sub>PF</sub>: 224

$$F_{WM}(x) = \min(1, \max(0, SEG_{PF}(x) - 1)),$$
  

$$F_{CSF}(x) = \min(1, \max(0, 3 - SEG_{PF}(x))).$$
(2)

In GM areas,  $F_{WM}(x)$  has a high "speed" which results in shorter 227 distances, whereas in CSF areas the "speed" is very low and thus re-228 sults in longer distances, whereas  $F_{CSF}(x)$  allows high speeds in GM 229 and CSF areas, but not in WM regions. Because the distance map  $D_{Ei}$  230 contains distortions, it is only used to find the closest object voxel 231 for each GM voxel  $x \in \Omega$ : 232

$$B_{Ei}(x,\Omega,\Gamma,F),\tag{3}$$

and to calculate the Euclidean distance  $D_{Eu}$  between the GM voxel x 233 and its nearest WM voxel  $B_{Ei}(x, \Omega, \Gamma, F)$ : 235

$$D_{Eu}(x,\Omega,\Gamma,F) = \|x, B_{Ei}(x,\Omega,\Gamma,F)\|_2.$$
(4)

...

We solve the above equations as follows: By solving the Eikonal equation within  $\Omega$ , we also note the closest WM voxel  $B_{\text{Ei}}$ . To allow sub-voxel 239 Q4 accuracy, the normalized vector between x and  $B_{\text{Ei}}(x, \Omega, \Gamma, F)$  is used to estimate a point  $G(B_{\text{Ei}}(x, \Omega, \Gamma, F))$  within one voxel distance to  $B_{\text{Ei}}(x, \Omega, \Gamma, F)$ . 241 The intensity gradient between  $B_{\text{Ei}}(x, \Omega, \Gamma, F)$  and  $G(B_{\text{Ei}}(x, \Omega, \Gamma, F))$  can then be used to estimate the exact boundary of  $\Gamma$ . 243

#### Projection-based thickness

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For simplification we will use the terms of the GM, WM, and CSF 245 probability maps for the operations, even though only the map 246  $SEG_{PF}$  is used. Cortical thickness can be described as the sum of the 247 inner (WMD, Fig. 3b2) and outer (CSFD, Fig. 3b3) boundary distance. 248

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<sup>&</sup>lt;sup>2</sup> http://dbm.neuro.uni-jena.de/vbm/

<sup>&</sup>lt;sup>3</sup> http://mouldy.bic.mni.mcgill.ca/brainweb/

<sup>&</sup>lt;sup>4</sup> http://dbm.neuro.uni-jena.de/vbm/

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**Fig. 3.** PBT: Subfigure (a) shows a flow diagram of the PBT approach, whereas subfigure (b1–b8 with simplified titles) shows 2D illustrations of the volume maps of (a). In subfigure (c), we illustrate the most relevant cases of our PBT method – a gyral and a blurred sulcal case with initialization and projection step. For distance calculations, the Eikonal equation is solved to account for partial volume information. PBT starts with the (interpolated) masked segmentation image SEG<sub>PF</sub> shown in Fig. 2 and estimates the distance to the inner (b2) and outer (b3) boundary. The blurring of outer boundary in sulcal regions leads to strong overestimation of the real distance and finally to an overestimation of the cortical thickness. To get the correct values in these regions, PBT uses a modified version GMT<sub>1</sub> (b4) of the WMD, in which the local maximum describes the position of the outer boundary and the correct thickness. It now uses the successor relation *succ(v)* of a voxel v (Eq. (8)), given by the WM distance WMD (b2), to project thickness values from the outer boundary (b4) over the whole GM (b5). PBT additionally uses the direct GM thickness GMT<sub>D</sub> (b6) – which is overestimated in blurred areas, but helps to reduce artifacts such as blood vessels – to create a final map GMT<sub>F</sub> (b7) of the minimum thickness from both thickness maps. After estimation of cortical thickness, a percentage position map PP is generated to create the CS and map cortical thickness onto it. The projection scheme shown in subfigure (c) uses the WM distance map to project the maximum local WM distance that is equivalent to the local thickness to other voxels. The WM distance map allows the definition of successors (neighbors of a voxel v with a slightly larger distance that v) and siblings (neighboring voxel with a similar distance to v), and a voxel v gets the mean thickness of its successors. If a voxel has no successors, then it is located at the outer boundary and its WM distance is related to its size.

Blurring of the outer boundary in sulcal regions due to the PVE leads 249to an overestimation of the CSFD. To avoid the explicit reconstruction 250of the outer boundary by a skeleton, we focus on the information 251252given by the WMD. At the outer boundary, and also within blurred re-253gions, the GMT is fully described by the WMD, because the CSFD is zero (Lohmann et al., 2003; Sowell et al., 2004). In other words, the 254highest local WMD within the GM is identical to the GMT of this 255area, and it is only necessary to project this information to other 256257GM voxels.

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This can be done using the successor relationship of the WMD. A 258neighbor voxel  $v_2$  of a voxel  $v_1$  is a successor of  $v_1$ , if the WMD of  $v_2$ 259is around one voxel greater than the WMD of  $v_1$ . Similarly, if the 260WMD of  $v_2$  is around one voxel smaller than  $v_1$ ,  $v_2$  is labeled as the 261parent voxel. In this case,  $v_1$  gets the thickness value of  $v_2$ . Neighbor 262voxels with a WMD similar to  $v_1$  that are too close to be either a par-263ent or a successor are called siblings, and their thicknesses remain 264 unrelated to  $v_1$ . If  $v_1$  has no successor, then it is a local maximum 265266 that is located at the CSF boundary and its GMT is given by its WMD. We now want to describe this process in a more formal way, 267 starting with the WMD: 268

$$WMD(v) = \begin{cases} D_{Eu}(v, GM > 0, WM, F_{WM}) & \text{, if } GM(v) > 0\\ 0 & \text{, otherwise} \end{cases},$$
(5)

where  $D_{\text{Eu}}$  gives the Euclidean distance of a voxel v to the nearest WM 269 boundary that was found by solving the Eikonal equation for the 271 speed map  $F_{\text{WM}}$  (Eq. (2)). The distance to the CSF boundary is now 272 given by: 273

$$CSFD(v) = \begin{cases} -D_{Eu}(v, CSF \& GM, CSF, \& BG, 1) & \text{, if } GM(v) > 0 \& CSF(v) > 0 \\ D_{Eu}(v, GM > 0, CSF \& BG, F_{CSF}) & \text{, if } GM(v) > 0 \\ 0 & \text{, otherwise} \end{cases}$$
(6)

where BG (background) describes all voxels that contain no tissue. 274The cortical thickness map GMT<sub>I</sub> is initialized as a modified version 276

277 of the WMD, because the WMD describes the distance only to the

center of a GM voxel. GM voxels with more than 50% CSF need additional correction by the CSFD, in which the minimum correction is half of the voxel resolution *res*:

$$GMT_{I}(v) = WMD(v) + \min(CSFD(v), res/2).$$
(7)

Let  $N_{26}$  be the 26-neighborhood of a voxel v, and  $D_{26}$  the associated distance of v to its neighbors. A voxel  $n \in N_{26}(v)$  is a successor of the voxel v if the WM distance of s meets the following conditional:

$$% succ(v, n) = \begin{cases} 1 & , if(WMD(v) + a_1 * D_{26}(n)) < WMD(n) < (WMD(v) + a_2 * D_{26}(n)) \\ 0 & , otherwise \end{cases}$$
(8)

where  $0 < a_1 \le 1 \le a_2 < 2$  are weights depending on the used distance 286 metric; these weights allow the inclusion of more thickness informa-288 289 tion from neighboring voxels to achieve a smoother thickness map. If there are no successors, then v is a border voxel and the WM distance 290 sets its thickness. The lower threshold  $a_1$  defines the boundary be-291 tween siblings and successors, whereas the higher threshold  $a_2$  is a 292 limit for direct successors. An  $a_1$  threshold that is too low will create 293 too many siblings and lead to smoother results, while an  $a_1$  threshold 294 that is too high will lead to coarser results. Likewise, an  $a_2$  threshold 295 296 that is too low will exclude more neighbors of v from the successor 297 relationship and lead to less smooth images and in the worst-case to a breaking of the projection because all possible successors are ex-298 cluded, whereas an  $a_2$  threshold that is too high will lead to 299 oversmoothed results with overestimation in gyral regions. For a 300 quasi-Euclidean metric, which is not useful for cortical thickness but 301 302 acceptable for the PP map,  $a_1$  and  $a_2$  are equal and can be set by the 303 distance of v to its neighbors. Good results with minimal smoothing 304 were achieved using  $a_1 = 0.5$  and  $a_2 = 1.25$ . If there are no successors, 305 then v is a border point and the WM distance sets its thickness, else it 306 uses the mean of all successors:

$$pt(v) = \frac{\sum_{n \in N_{26}(v)} succ(v, n) * GMT_I(n)}{\sum_{n \in N_{26}(v)} succ(v, n)}.$$
(9)

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The initial thickness GMT<sub>I</sub> can now be used to estimate the final projection-based thickness map GMT<sub>P</sub>, by projecting the values over the GM region:

$$GMT_P(v) = \max(GMT_I(v), pt(v)), \tag{10}$$

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This mapping can be done in O(n) time using the same principle described for voxel-based distance calculation (Rosenfeld and John, 1966). To reduce overestimations in the GMT<sub>P</sub> map due to GM fragments such as blood vessels or dura mater, the direct thickness map:

$$GMT_D(v) = CSFD(v) + WMD(v), \tag{11}$$

319 is used to create the final thickness map:

$$GMT_F(v) = \min(GMT_P(v), GMT_D(v))/res,$$
(12)

320 that is corrected for the voxel resolution *res*. The percentage position 322 map PP can now be described as:

$$PP(v) = (GMT_F(v) - WMD(v)/res))/GMT_F(v) + (SEG_{PF}(v) \ge 2.5).$$
(13)  
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Finally, the CS is generated from the PP map and reduced to around 300,000 nodes using standard Matlab functions. Each vertex of the mesh is assigned a thickness value via linear interpolation of the closest GM thickness map values. Fig. 3 shows the flow diagram of our method and illustrates the idea for most relevant examples in 2D.

PBT was used to reconstruct problematic regions in an additional 331 preprocessing step that estimates the cortical thickness in the GM 332 with flipped boundaries. These problematic regions are those that 333 are highly susceptible to errors due to the PVE, which creates prob- 334 lems in both gyral and sulcal regions. In the gyral case, thin WM 335 structures are blurred rather than the CSF blurring that occurs in nar- 336 row sulcal regions. This occurs most frequently in the superior tem- 337 poral gyrus, the cingulate gyrus, and the insula, and may be 338 addressed similarly to the idea proposed in (Cardoso et al.) for seg- 339 mentation refinement. If a voxel of the inverse thickness map has 340 lower thickness than the original thickness map and if the thickness 341 of both is larger than 2 mm while SSEG>2.0, we expect that the in- 342 verse thickness map has identified a gyrus that is blurred by the 343 PVE. For these blurred regions, the thickness and percentage position 344 of the inverse maps are used. 345

#### Laplacian-based thickness

The Laplacian approach requires an explicit sulcal reconstruction 347 step (Jones et al., 2000; Tosun et al., 2004) that uses a skeleton map 348 to reconstruct the outer boundary in blurred regions of the segment 349 image SEG<sub>PF</sub> (Fig. 4b2) by changing the tissue class of the re- 350 constructed boundary voxels from GM to CSF resulting in a map SEG<sub>PFS</sub> 351 (Figs. 4b3, 4a). To create the skeleton map S, we first generate WM and 352 CSF distance maps with the same distance measure used for PBT to 353 allow asymmetrical structures. We then find areas with high divergence 354 of the gradient field, resulting in a map SR. This map is normalized with-355 in a low and a high boundary  $s_{low}$ =0.5 and  $s_{high}$ =1.0 resulting in the 356 skeleton map S (Bouix and Kaleem, 2000), with & as a logical AND op-957 Q6 erator: 358

$$SR = \nabla \Delta(WMD)$$

$$S = \left(SR * \left((SR > s_{low}) \& \left(SR < s_{high}\right)\right) - s_{low}\right) * \left(s_{high} - s_{low}\right) + \left(SR \ge s_{high}\right).$$
(14)
(14)

The skeleton map accurately represents the sulci that have been  $_{361}$  blurred in the tissue segmentation process. We correct all voxels of  $_{362}$  SEG $\geq 1$  by:  $_{363}$ 

$$SEG_{PFS} = SEG_{PF} - \max(1, 2-S) * (SEG_{PF} \ge 1))$$

$$(15)$$

(Fig. 4b3). The changing of GM voxels to CSF voxels leads to an un- **364** derestimation of the GM volume and local thickness, which will be **366** considered later. The corrected segment map SEG<sub>PFS</sub> can now be **367** used to solve the Laplace equation between the GM/WM and GM/CSF **368** boundary: **369** 

$$\nabla^2 \psi = \frac{\partial \psi}{\partial x^2} + \frac{\partial \psi}{\partial y^2} + \frac{\partial \psi}{\partial z^2} = 0.$$
 (16)

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The above equation is solved iteratively using an initial potential 372 image with Dirichlet boundary conditions. The WM (SEG<sub>PFS</sub> $\geq$ 2.5) 373 forms the higher potential boundary with values of 1, whereas the 374 CSF (SEG<sub>PFS</sub> $\leq$ 1.5) represents the lower potential boundary with 375 values of 0. To accelerate convergence, all GM voxels are initialized 376 with a potential of 0.5. Eq. (17) is applied only to GM voxels 377 (SEG<sub>PFS</sub>>1.5 and SEG<sub>PFS</sub><2.5) and simply describes the mean of the 378 six direct neighbors of a voxel: 379

$$\psi_{i} + 1(x, y, z) = \frac{1}{6} * \begin{bmatrix} \psi_{i}(x + \Delta x, y, z) + \psi_{i}(x - \Delta x, y, z) + \\ \psi_{i}(x, y + \Delta y, z) + \psi_{i}(x, y - \Delta y, z) + \\ \psi_{i}(x, y, z + \Delta z) + \psi_{i}(x, y, z - \Delta z) \end{bmatrix}.$$
(17)

The solution has converged when the error  $\varepsilon = (\psi_{i-1} - \psi_i)/\psi_{i-1}$  is 382 below a threshold value of 10<sup>-3</sup>. After generating the potential 383

Please cite this article as: Dahnke, R., et al., Cortical thickness and central surface estimation, NeuroImage (2012), http://dx.doi.org/10.1016/ j.neuroimage.2012.09.050

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**Fig. 4.** Subfigure (a) shows a flow diagram of the Laplacian approach, where subfigure (b1–b8 with simplified titles) shows 2D illustrations of the volume maps of subfigure (a). First, a skeleton based on the WM distance map (see Fig. 3b2) is used to reconstruct blurred sulcal regions (b1–b3). Next, the Laplace equation is solved in the GM area and a vector field *N* is generated (b3). This vector field allows the creation of streamlines that follow the vectors to each boundary to measure the distance (b4–b6). To avoid an underestimation due to sulcus reconstruction (b5), the CSF distance  $L(s_{CSF}(v))$  was corrected for changes from the sulcus reconstruction (Eq. (22)). The addition of both distance maps gives the cortical thickness map GMT that allows the creation of the percentage position map PP, which in turn is used to create the CS and map cortical thickness onto the surface.

image, we calculate the gradient field N of the Laplace map as the simple normalized two-point difference for each dimension. For example, along the *x*-direction the normalized potential difference  $N_x$ is calculated as follows:

$$N_{\rm x} = (\Delta \psi / \Delta x) / \sqrt{(\Delta \psi / \Delta x)^2 + (\Delta \psi / \Delta y)^2 + (\Delta \psi / \Delta z)^2}, \tag{18}$$

389

$$\Delta \psi(x, y, z) / \Delta x = [\psi(x + \Delta x, y, z) - \psi(x - \Delta x, y, z)]/2.$$
(19)

390

Three normalized potential difference maps are then created:  $N_x$ ,  $N_y$ , and  $N_z$  (Fig. 4b3 — blue vectors). From these maps, we calculate gradient streamlines for every GM voxel. A streamline *s* is a vector of points  $s_1,...,s_n$  that describes the path from the starting point  $s_1$  to a border. The following point,  $s_{i+1}$ , of  $s_i$  is estimated by using the Euler's method, or by adding the weighted normalized gradient  $N(s_i)$  to  $s_i$ :

4

$$s_{i+1} = s_i + hN_x(s_i) + hN_y(s_i) + hN_z(s_i).$$
 (20)

The weight h describes the step size of the streamline calculation 401 402 and was set to 0.1 mm as a compromise between speed and quality. For every GM voxel v, we calculate the streamline  $s_{WM}(v)$  starting at 403 the position of v to the WM boundary and other streamline  $s_{CSF}(v)$ 404 from v to the CSF boundary. To calculate  $s_{CSF}(v)$ , it is necessary to 405use the inverse gradient field. The length of a streamline L(s) can be 406 found by summing the Euclidean distance of all points s<sub>i</sub> to their suc-407 408 cessor  $s_{i+1}$ :

$$L(s) = \sum_{i=1}^{n-1} \sqrt{\left(s_{i+1,x} - s_{i,x}\right)^2 + \left(s_{i+1,y} - s_{i,y}\right)^2 + \left(s_{i+1,z} - s_{i,z}\right)^2}.$$
 (21)

We correct for errors introduced by the skeleton S using the vol- 411ume difference between the uncorrected tissue segment SEG<sub>PF</sub> and 412the corrected tissue segment SEG<sub>PFC</sub>. 413

$$L_{C}(s) = L(s) + SEG_{PF}(s_{n,x}, s_{n,y}, s_{n,z}) - SEG_{PFC}(s_{n,x}, s_{n,y}, s_{n,z}).$$
(22)
418

The summation of the length of both streamlines  $s_{WM}(v)$  and 416 $s_{CSF}(v)$  gives the GM thickness at voxel v (Figs. 4b5 and b6). The 417 RPM can also be calculated using the values for the lengths of 418  $s_{WM}(v)$  and  $s_{CSF}(v)$ , with all WM voxels set to one: 419

$$GMT(v) = L(s_{WM(v)}) + L_C(s_{CSF(v)}),$$
<sup>(23)</sup>

$$PP(v) = L_{C}(s_{CSF(v)}) / GMT(v) + (SEG_{PFC}(v) > 2.5).$$
(24)

(Figs. 4b7 and b8). Finally, the CS surface is generated at a resolution 422 of 0.5 mm from the PP map and reduced to around 300,000 nodes 424 using standard Matlab functions. Each vertex of the mesh is assigned 425 a thickness value via a linear interpolation of the closest GMT map 426 values. 427

#### Spherical phantoms

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A variety of spherical phantoms were used for validation. For the 429 standard gyral case, the spherical phantom consisted of a cortical 430 GM ribbon around a WM sphere in the center of the tissue map 431 (Fig. 5). To explore the ability to reconstruct blurred sulcal regions, 432 a second spherical phantom was constructed such that it contained 433 a cortical GM ribbon sandwiched in between two WM regions: the 434 center sphere and an outer shell. Between the ribbon boundaries, a 435 small gap allows testing of the influence of the presence of CSF. To 436 simulate asymmetrical structures, the size of the second ribbon was 437 defined as a ratio of the size of the first GM ribbon. To realize this 438 phantom with PVE, a distance map SPD that measures the distance 439

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Fig. 5. Spherical phantom validation matrix: Two spherical phantom types – one for the gyral case (CGW) and one for the blurred sulcal case (WGW) – were used with different parameters for each method. The rows and columns are used to describe curvature (given by inner radius) and thickness values under varying conditions (see Table 1 and Fig. 7).

from the center of the volume at a resolution of  $1 \times 1 \times 1$  mm<sup>3</sup> is used to create the tissue map TS:

# $T_{PVE}(v,r) = \begin{cases} 1 & ,if \ SPD(v) \le (r-0.5) \\ r+0.5-SPD(v) & ,if \ SPD(v) > (r-0.5) & SPD(v) \le (r+0.5), \\ 0 & ,if \ SPD(v) \ge (r+0.5) \end{cases}$ (25)

443

$$\begin{split} TS_{PVE}(v,r,t,sw,rsp) &= \text{inner} - WM - \text{sphere} + \text{inner} - GM - \text{sphere} + CSF - \text{sphere} + \text{outer} - GM - \text{sphere} + \text{outer} - WM - \text{sphere} \\ &= T_{PVE}(v,r) + T_{PVE}(v,r+t) + 1 \\ &+ (1 - T_{PVE}(v,r+t+sw)) + \\ &(1 - T_{PVE}(v,r+t+sw + ((t/rsp) * (1 - rsp)))) \end{split}$$
(26)

where *v* is voxel of TS, *r* gives the inner boundary radius, *t* describes the
thickness, *sw* is the sulcus width, and *rsp* is the relative sulcus position.
Thickness is only evaluated for the inner ribbon because for asymmetrical structure the outer ribbon has a different thickness and curvature.

Table 1 shows the values of each parameter to be tested. To test
one parameter, all other parameters were fixed to standard values.
The range of values chosen for the parameters was based on anatomical and technical considerations.

Brain phantoms

To ensure an equal thickness for the brain phantom, it is necessary 454 to expand sulcal regions such that they are able to achieve full thick- 455 ness without intersections. To accomplish this, a CS of a healthy adult 456 test subject was generated with Caret (Van Essen et al., 2001) and 457 manually corrected for geometrical and topological errors (Fig. 6b). 458 Twenty iterations of weighted nearest neighbor surface-based 459 smoothing (included in the Caret package) were used to remove 460 high frequency structures that can lead to problems in later ma- 461 nipulation steps (Figs. 6c, a1). A graph-based distance measure 462  $D_{\rm gb}$  is used to create a distance map that describes the distance 463 with sub-voxel accuracy to a given iso-surface that was generated 464 via Matlab iso-surface functions. The initial surface was linearly in- 465 terpolated once to reduce missed measurements. This distance 466 map allows finding the new inner boundary at half distance 467 (Fig. 6a2). From this new inner boundary, we estimated the new 468 outer boundary based on the distance map generated from the 469 inner boundary. If a sulcus is too small to allow increased thick- 470 ness without intersections, then it is blurred (Fig. 6a3). From this 471 new outer boundary, a new distance map allows the creation of 472 the final central boundary (Fig. 6a4). The distance map BPD from 473 the central boundary now allows the creation of a segment image 474

#### t1.1 Table 1

v1.2 Overview of parameters for the brain phantom test cases. For each test case, the anatomically expected range of each parameter was tested while all other parameters remained
 v1.3 constant. The bracketed values give the number of test cases for each parameter. In most cases, only one default value was used. Because the cortex contains both blurred (CGW)
 v1.4 and non-blurred (WGW) regions, both cases were tested. When testing sulcal width and position, more than one default value was necessary due to high variance in the results. For
 v1.5 example, a symmetrical sulcus will produce better results than an asymmetric sulcus.

t1.6	Parameter	Curvature	Thickness	PVE vs no PVE	V vs. S	Туре	Sulcus width	rel. sulcus pos
t1.7	Ranges	1.0:0.01:5.0 (401)	0.0:0.01:5.0 (501)	0 1 (2)	VS(2)	CGW WGW (2)	0.0:0.01:2.0 (200)	0.2:0.001:0.8 (601)
t1.8	Defaults	2.5 (1)	2.5 (1)	1 (1)	S(1)	CGW WGW (2)	0.0:0.50:1.0 (3)	0.3:0.2:0.7 (3)

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**Fig. 6.** Brain phantom generation: Subfigure (a) illustrates the generation process for the brain phantom, the difference between the original and final surface (a.l), and problems for higher thickness levels (a.ll), whereas (b) to (f) show the changes from the individual surface to the brain phantom. A smoothed individual surface of a healthy adult (a.1) is transformed by distance operations to a surface that allows the creation of a *t*-mm thick cortical ribbon (a.5). This process removes high-frequency WM structures (a.2) and enlarges sulcal regions (a.3) to ensure an actual thickness level between 0.5 and 4.0 mm. Larger thicknesses destroy most of sulcal structures of a normally folded brain (a.II).

with WM, GM, and CSF (Fig. 5a5) (for a resolution of  $0.5 \times 0.5 \times 0.5 \times 0.5 \text{ mm}^3$ ):

$$TB_{PVE}(v,r) = \begin{cases} 3 , & \text{if } BPD(v) \ge (-t/2 - 0.25) \\ -t/2 - 0.25 + BPD(v) , & \text{if } BPD(v) < (-t/2 - 0.25) \\ 2 , & \text{if } BPD(v) \le (-t/2 + 0.25) \\ t/2 + 0.25 - BPD(v) , & \text{if } BPD(v) \le (-t/2 + 0.25) \\ t/2 + 0.25 - BPD(v) , & \text{if } BPD(v) < (t/2 - 0.25) \\ 1 , & \text{if } BPD(v) \le (t/2 + 0.25) \\ \end{cases}$$
(27)

The default parameters (2.5 mm thickness,  $1 \times 1 \times 1$  mm<sup>3</sup> resolution, 0% noise) were modified individually, resulting in 14 thickness levels, 9 noise degrees, and 7 isotropic and 7 anisotropic grid resolutions. The dataset is available under http://dbm.neuro.uni-jena.de/ phantom/.

#### 484 Collins phantoms

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To test different thickness levels on one surface and stability for images interferences, 6 BrainWeb T1-weighted phantom datasets (1-mm resolution) with 1%, 3%, 5%, 7%, 9% noise and 20% inhomogeneity were compared to a dataset without noise and inhomogeneity (Collins et al., 1998).

#### 490 Real data

The sample data set included 12 brain scans of the same healthy adult subject performed on two different 1.5 T Siemens Vision scanners within one year. Both scanners used 3D magnetization prepared gradient echo (MP-RAGE) T1-weighted sequences of 160 sagittal slices with voxel dimensions  $1 \times 1 \times 1$  mm and FOV=256 mm. Scanner 1 parameters were TR/TE/FA=11.4 ms/4.4 ms/15°, and Scanner 2 parameters were TR/TE/FA=15 ms/5 ms/30°.

Each reconstructed surface of the 12 scans was compared to an aver age scan to estimate the surface reconstruction and thickness errors,
 similar to the analysis used for the Collins phantom. Ideally, all recon structions should be identical, and they should produce identical thick ness measurements.

#### 503 Results

504 Four different test matrices were used to validate PBT; these re-505 sults were then compared to the Laplacian approach and, wherever applicable, to FreeSurfer. The first test consisted of the set of spherical 506 phantoms, which were used to test the approaches over a wide set of 507 parameters under simple but precise conditions. The second test, 508 consisting of the brain phantoms, was used to explore the performance 509 of the approaches under the more realistic condition of a highly convo- 510 luted surface with equal thickness. For the third test, we used the Collins 511 phantom with different noise levels, both to add more realism and to di- 512 rectly compare the results to the FreeSurfer software package. Finally, 513 we used real MR data of one subject for a test-retest of all three 514 methods. 515

## Spherical phantoms

Over all test parameters, PBT shows better results than the Laplacian 517 approach for both thickness estimation and surface generation (Fig. 7a). 518 As expected, both methods have higher RMS error for thickness estimation than for surface generation, both produce better results with PVE, 520 and both perform better for the simpler gyral case compared to the sulcal case. The voxel-based results of the Laplacian approach are much worse than after projection to the surface, whereas PBT produced equally accurate results due to the smoothness parameter of the projection. Compared to gyral regions, sulcal regions show higher RMS error, which is strongly related to the width of the sulcal gap and its relative position.

Predicted by the sampling theorem, both show a strong increase of 527 RMS error below sampling resolution for thickness measurements, 528 but not for surface generation (Figs. 7b and c). 529

Furthermore, the Laplacian approach had larger fluctuations of error 530 across the test cases. Relatively small variations of the test parameters 531 led to vastly different error values (Fig. 7c above 2.5 mm). This strong 532 variation can only be found if the step size of the parameter is very 533 small — around 0.01 mm. Especially, asymmetrical structures (Fig. 7d) 534 and small sulcal gaps (Fig. 7e) vastly increase the RMS error of the 535 Laplacian approach. 536

For the Laplacian approach, we were able to produce good results 537 such as those published in the literature only for cases with relatively 538 large CSF regions and low asymmetry, whereas PBT produced more 539 exact and stable results over the full range of test parameters. 540

## Brain phantoms

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516

For the brain phantoms, if the two approaches are compared, the PBT  $\,542$  method has much lower RMS error for the thickness measurements and  $\,543$ 

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Fig. 7. Spherical phantom: PBT results in lower RMS error for all test categories, compared to the Laplacian approach (a). Below sampling resolution, both methods show a predictable increase of thickness measurement error due to the sampling theorem (c), whereas the position error stays stable (b). Most errors happen for sulcal cases with low sulcus width (d) and higher asymmetries (e).

similar RMS error for the surface position compared to the Laplacian 544method (Fig. 8). The errors occur mostly in sulcal regions where the sul-545546cus reconstruction step cut strongly into the fundi of the sulci such that that a complete correction was not possible (Fig. 8a). However, using a 547weaker sulcus reconstruction step or stronger corrections led to thickness 548overestimation, more defects, and greater RMS errors, thus it was impos-549sible to circumvent this problem. Generally, the largest errors occurred 550for anisotropic resolutions, thickness levels below the sampling resolu-551 tion, and higher noise levels. It can be assumed that these factors would 552 apply to any cortical data set, and thus should be considered before ap-553 plying any cortical reconstruction method. 554

#### 555 Collins phantom

The advantage of using an additional Collins phantom is that the two approaches described here (PBT and Laplacian) can be compared to a commonly used approach for both reconstructing cortical surfaces and measuring thickness, e.g., FreeSurfer. To summarize the findings, 559 the PBT approach had comparable or lower RMS error compared to 560 both the Laplacian and FreeSurfer approaches (Fig. 7, Supplementary 561 Fig. A1). If the noise level is increased, all thickness measures also 562 had increasing error. A two-sample unpaired t-test showed no signifi- 563 cant differences of the RMS position error between PBT and Laplacian 564 (t=-0.048, df=8, p=0.963) and PBT and FreeSurfer (t=1.348, 565)df = 8, p = 0.215). A significant difference in thickness between PBT 566 and Laplacian was found (t=-2.95, df=8, p=0.019), but not for 567 PBT vs. FreeSurfer (t=-0.944, df=8, p=0.374). Furthermore, the 568 PBT method provides an advantage in terms of reduced numbers of to- 569 pological defects (an average of 15.1 for PBT, compared to 28.2 for 570 Laplacian and 18.5 for FreeSurfer). PBT had significantly fewer defects 571 compared to the Laplacian approach (t = -8.656, df = 10, p< 0.001), 572 but not compared to FreeSurfer (t = -1.481, df = 10, p = 0.182). The 573 defects associated with the Laplacian and PBT approaches were mostly 574 bridges between two gyri and were removed by the topology 575

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a) thickness measurement errors of PBT and the Laplacian approach to the ground thruth (brain phantom with 2.5 mm, 1x1x1 mm<sup>3</sup>, 0% noise)



**Fig. 8.** Brain phantom: Subfigure (a) shows the resulting surfaces for a simulated thickness of 2.5 mm, with an isotropic resolution of  $1 \times 1 \times 1$  mm<sup>3</sup> and no noise. PBT produced overall good results (left), whereas the Laplacian approach showed strong underestimation in sulcal regions due to the sulcus reconstruction step (right), even if sulcus error correction was used (middle). The Laplacian approach (b – red) produced much higher thickness RMS errors than PBT (b – blue). Low sample resolution, anisotropic resolutions, and noise may increase the RMS error for both thickness measurements as well as CS position (c–e). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

576 correction. These results were highly dependent upon the quality of 577 the initial tissue segmentation, the implications of which are discussed **Q7**578 more fully in the Discussion section (Fig. 9).

Inline supplementary Fig. A1 can be found online at http://dx.doi. org/10.1016/j.neuroimage.2012.09.050.

#### 581 Twelve scans of one subject

As a final approach for quantifying the performance of three ap-582proaches (PBT, Laplacian, FreeSurfer), we analyzed twelve separate 583scans of a single brain, then compared the results to an averaged scan 584 of the same brain. Since the elapsed time between scans was less than 585one year, cortical thickness should be unchanged. Again, the PBT ap-586587proach provided some advantages over the other methods (Fig. 10, Supplementary Fig. A2). First, the PBT approach is comparable to or better 588than other approaches in terms of the RMS thickness measurement er-589rors (Fig. 10c; PBT:  $0.39 \pm 0.02$  mm; Laplacian:  $0.64 \pm 0.02$  mm; 590FreeSurfer:  $0.53 \pm 0.05$  mm), and the RMS position error of the CS re-591592constructions was similar to the other two approaches (Fig. 10d; PBT: 593 $0.50 \pm 0.05$  mm; Laplacian:  $0.54 \pm 0.05$  mm; FreeSurfer:  $0.60 \pm$ 0.23 mm). There was no significant difference in the RMS position 594error between PBT and the Laplacian approach (t = -1.922, df = 22, 595p = 0.067) and PBT and FreeSurfer (t = -1.409, df = 22, p = 0.172), 596whereas the difference of the RMS thickness error was significant 597 (t=-8.177, df=22, p<0.001). A major difference between the PBT 598 and Laplacian approaches compared to FreeSurfer is a general underes-599 timation of thickness in the motor cortex (Fig. 10b). Finally, the PBT ap-600 proach produced far fewer topological defects per hemisphere 601 compared to Laplacian (t = -6.036, df = 24, p<0.001) and FreeSurfer 602 (t=-4.030, df=24, p<0.001) (Fig. 10a; PBT: 21.5; Laplacian: 34.6; 603 FreeSurfer: 54.6). 604

Inline supplementary Fig. A2 can be found online at http://dx.doi. org/10.1016/j.neuroimage.2012.09.050. All calculations were done on an iMac 3.4 GHz Intel Core i7 with 607 8 GB RAM and Matlab 7.12. For both hemispheres with a resolution 608 of 0.5 mm and with topology error correction, PBT needed around 609 20 min, whereas the Laplacian approach takes around 2 h. Although 610 the FreeSurfer processing pipeline is structured differently than the 611 PBT and Laplacian approaches, rendering comparison difficult, an estimate of the time to perform cortical reconstruction and thickness 613 measurement is several hours.<sup>6</sup> 614

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#### Discussion

For nearly all test cases, PBT had much lower thickness and position 616 errors than the Laplacian approach, because PBT uses an inherent model 617 that detects sulci, whereas the Laplacian method requires an explicit 618 sulcus reconstruction step that changes the tissue class of sulcal voxels 619 and may lead to the introduction of additional errors, even if these tis- 620 sue class changes are compensated for within the algorithm. The differ- 621 ent tests of the spherical phantom clearly show that the strong errors of 622 the Laplacian approach only happen in asymmetric sulcal regions, al- 623 though both methods are based on the same Eikonal distance measure 624 that accounts for the sulcal gap. Because the real cortex also contains 625 asymmetrical structures, it is important that the thickness measure is 626 able to accurately evaluate these asymmetries (Das et al., 2009; Fischl 627 and Dale, 2000; Kim et al., 2005). In addition, the brain phantoms indi- 628 cate errors on the fundi of the sulci for the Laplacian method, whereas 629 the continuous model of PBT allows a stable estimation over the whole 630 cortex. 631

The correct reconstruction of blurred sulci is still a challenging 632 process, since the result depends strongly on the used method and 633 its parameters (Acosta et al., 2008, 2009; Cardoso et al.; Dale et al., 634 1999; Das et al., 2009; Han et al., 2004; Hutton et al., 2008; Kim et 635

<sup>&</sup>lt;sup>6</sup> https://surfer.nmr.mgh.harvard.edu/fswiki/ReconAllRunTimes

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**Fig. 9.** Collins phantom: Diagram (a) shows the mean number of defects per hemisphere for PBT (blue), Laplacian (red), and FreeSurfer (green). Subfigures (b–d) show the ground truth surface of the Collins phantom noise test for PBT (left surface), Laplacian (middle surface), and FreeSurfer (right surface), in which the color map codes the cortical thickness of the ground truth surface (b), the mean thickness RMS error of all noise levels compared to the ground truth surface (c), and the mean distance RMS error of all noise levels to the ground truth surface (d). A supplementary figure, including medial and lateral views of both hemispheres, is available online. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

al., 2005: MacDonald et al., 2000: Scott and Thacker, 2005). The 636 shown results of the different methods allow only a rough impression 637 about the quality of the sulcus reconstruction step, by showing that 638 the modeling of sulcal blurring leads to results that are closer to the 639 640 simulated cortical thickness. Most differences in these methods are visible especially on the fundi of the sulci, which is where some ap-641 proaches have thickness over- or underestimation. Although other 642 643 authors, i.e. (Das et al., 2009; Hutton et al., 2008; Kim et al., 2005), illustrate the reconstruction of blurred regions for principle examples, this is 644 645 the first paper that introduces a way to numerically validate an algorithm using not only simple cases with well-known parameters and 646 without fundi, but also for highly convoluted surfaces with fundi. 647

Compared to surface-based approaches, PBT does not need exten-648 649 sive surface deformation or self-intersection tests, which are necessary 650 for both Freesurfer (Dale et al., 1999) and CLASP (Kim et al., 2005). In contrast to FreeSurfer, PBT is able to use tissue segmentation images 651 produced using any segmentation approach, allowing a separate devel-652 opment of the segmentation algorithms and thus making this process 653 more transparent. Furthermore, this allows the use of segmentation im-654 655 ages for other imaging modalities such as T2, PD (Ashburner and Friston, 2005; Zhang et al., 2001), DTI (Liu et al., 2007), and other 656 methods that take account of special contrast properties in disease 657 states such as multiple sclerosis (Khayati et al., 2008; Wu et al., 2006), 658 white matter hyper-intensities (Admiraal-Behloul et al., 2005; Gibson 659 et al.), or tumors (Kaus et al., 2001; Prastawa et al., 2004), or for other 660 species (Andersen et al., 2002). As a result, the input of PBT and other 661 segment-based methods depends strongly on the results of the 662 663 segmentation. The tests with the spherical and brain phantoms were in-664 dependent from the segmentation process, because the segmentation images were directly simulated, whereas the Collins phantom and the 665 real dataset include a segmentation step. Evidence of the strong influence of the segmentation algorithm on results may be seen with the 667 Collins phantom. Since the tissue boundaries are simulated, these 668 phantoms included artificially precise tissue classification and resulted 669 in much more similar thickness measurements for all methods than 670 for the real data set (especially in the motor cortex). 671

Furthermore, PBT allows a direct voxel-based analysis, potentially 672 in combination with other voxel-based data (Hutton et al., 2009), and 673 it may also be used to measure the thickness of the WM and CSF 674 [HBM2010]. The voxel-based thickness estimation of PBT and other 675 methods allows the easy creation of the central surface, which has 676 better properties than the WM or pial surface. Previous approaches 677 generally reconstruct a surface at a tissue boundary, which is either 678 the WM surface or the pial surface. In one sense, such a reconstruc- 679 tion makes sense, since the intensity gradient in these regions can 680 be used to estimate the position of the surface. However, due to the 681 PVE, the boundaries often contain voxels with more than one tissue 682 class and thus render it impossible to determine the precise location 683 of the surface within that voxel. In the approach suggested here, the 684 effect of PVE is somewhat reduced, since the central surface is 685 reconstructed simply at the 50% distance boundary between the 686 GM/WM and GM/CSF boundaries. This effect is responsible for the 687 constant RMS position error below the sampling resolution, whereas 688 thickness errors grow much stronger, because the PVE and neighbor 689 information can only code the exact position of one boundary. For in- 690 stance, for the 1D case of a voxel v and its left and right neighbors  $v_1$  691 and  $v_r$ , where v = 2.25,  $v_l = 2$ , and  $v_r = 3$ , the WM boundary is exactly 692 described between v and  $v_r$ , but if  $v_l = 1$ , then there are two 693

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**Fig. 10.** Real data: Diagram (a) show the mean number of defects per hemisphere for PBT (blue), Laplacian (red), and FreeSurfer (green). Shown in (b–d) are PBT (left column), Laplacian (middle column), and FreeSurfer (right column) surfaces with (b) cortical thickness calculated for the average surface, (c) mean thickness RMS error of all scans compared to the thickness of the average surface, and (d) mean distance RMS error of all scans to the average surface. Strong differences are visible in the thickness measurements for the motor cortex, which depended mostly on the segmentation algorithm (VBM8) and thus was similar for PBT and Laplacian, whereas FreeSurfer used internal routines. A supplementary figure, including medial and lateral views of both hemispheres, is available online, (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

boundaries within v and it is unclear how much GM is within v. It is possible that there is only GM and WM in v, the WM boundary is at the same position, and the CSF boundary is exactly between v and  $v_l$ . But it is also possible that there is some CSF in v, and v contains three tissue classes and both boundaries. As a result, thickness RMS errors grow strongly for thickness levels below the sampling resolution.

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700 Independent of the chosen reconstruction method, the general structure of the CS lends advantages that do not exist in the other surface 701 reconstructions. First, the CS has a lower "frequency" content, or fewer 702 703 finely detailed regions, since it is the average of the WM structure with its strong gyri and the pial surface with its deep sulci. Due to this charac-704 705 teristic of the CS, it may have fewer topological defects and it tends to lose less anatomical detail when smoothed. Since brain surfaces usually must 706 be smoothed to remove stair artifacts and noise, the CS provides a distinct 707 advantage over the other reconstructions. Secondly, another advantage 708 of the CS is that it may be directly reconstructed from the data and thus 709 710 leads to a more uniform distribution of vertices across the surface, 711 which may be perturbed in a method that uses a deformation process to reconstruct a surface at a tissue boundary. 712

Before performing intersubject comparisons, the brain surface 713meshes must usually be free of topological defects, and there are several 714 approaches available to retrospectively correct topological errors either 715 in volume space or directly on the surface (Kriegeskorte and Goebel, 716 2001; Segonne et al., 2007; Shattuck and Leahy, 2001; Yotter et al., **O8**717 2009, in press). Despite the availability of these correction methods, it 718 is desirable to minimize both the size and number of topological defects, 719 since non-idealities in the correction step can often introduce errors. In 720 this respect, the PBT approach is the best choice, since it produced the 721 lowest number of defects, and the defects were also relatively small. De-722 spite using the same segmentation images, the Laplacian approach 723 724 resulted in a large number of defects, mostly due to overestimation of thickness in sulcal regions and thus the formation of bridges. A detailed 725 discussion of the corrections of topology defects via spherical har-726 monics can be found in (Yotter et al., in press). 727 **Q9** 

728

#### Necessity of a full phantom test suite

Comparing different software packages is never easy, because there 729 will always remain some differences in processing the data, i.e. the re-730 striction of FreeSurfer to 1.0 mm resolution for all volumes whereas 731 PBT and the Laplacian approach can also use higher resolutions (here 732 0.5 mm). Especially, the different segmentation routines limited the 733 comparison between FreeSurfer and both other approaches. Further-734 more, all methods based on different thickness definitions can also 735 lead to slightly different results (Lerch and Evans, 2005; MacDonald et 736 al., 2000). 737

Because visual inspection of surfaces gives only subjective, badly 738 reproducible, and often limited impressions of the reconstruction 739 quality (Kabani et al., 2001; Xu et al., 1999), we developed a complete 740 test suite containing several parameters that could be varied to fully 741 characterize both surface reconstruction and thickness measurement 742 approaches. Although previous approaches tested a small number of 743 phantom objects (Acosta et al., 2009; Das et al., 2009; Miller et al., 744 2000), it is apparent from our results that it is necessary to test several 745 parameters to gain information about an algorithm's performance, 746 especially for special cases such as sulcal blurring. It could be further 747 argued that simple geometrical objects provide only limited infor-748 mation about performance that cannot be extrapolated to cortical 749 surfaces, thus it is appropriate to include pseudo-cortical surfaces 750 with constant thickness over the whole cortex in the test suite. Unlike 751 the previous methods (Liu et al., 2008), our cortical ribbon has an 752 equal thickness and a more realistic structure. This constant thickness 753

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756Using phantoms with equal thickness has the fundamental advantage 757 that an equal ribbon allows theoretically similar thickness measurements, independent of the definition of the thickness measure. An illustration 758may clarify this point. Let t be the simulated thickness of a convoluted O10759 brain-like ribbon with equal thickness. First, for nearest-neighbor-based 760 methods (i.e. T<sub>near</sub> (MacDonald et al., 2000) for surface-based methods 761 762 or nearest voxel for voxel-based methods), it is obvious that the nearest connection between both sides is given by the defined thickness t. Second, 763 764the T<sub>normal</sub> (MacDonald et al., 2000) metric that measures the distance between both sides of the ribbon via the surface normal will measure the 765 same thickness t, because of the well-defined structure of this ribbon, 766 767 i.e., both boundaries have the same curvature by definition. Third, the streamline of the Laplacian approach will be equal to the surface normal, 768 because they depend on the vector field given by the Laplace filter, which 769 in turn depends on the curvature of both boundaries that are equal by 770 definition. Fourth, the T<sub>link</sub> (MacDonald et al., 2000) metric is defined 771 for surfaces with equal numbers of vertices. Here, one surface is the re-772 sult of a deformation of the other surface. The deformation is mostly 773 based upon a field given by the intensity and/or by the surface normal 774 or another Laplace vector field (Kim et al., 2005). Because the intensity 775 776 is equal within the ribbon, only the surface normal or the vector field 777 can be used for the deformation. As a result, the deformation is similar to the streamlines of the Laplacian approach that are similar to the sur-778 face normal. 779 The PVE approximation of the phantom generation based on distance 780

781 maps leads to errors that depend on the resolution, the intensity (given by the distance), and the angles of the voxel to the coordinate system. 782 The highest possible error for a resolution of  $1 \times 1 \times 1$  mm<sup>3</sup> happens for 783 a diagonal voxel within the middle slice, and is, with a volume error 784 below 0.05 mm<sup>3</sup>, comparable to other approximation methods 785 786 (Acosta et al., 2009) in which the object is rendered first to  $0.1 \times 0.1 \times 0.1$  mm<sup>3</sup> and then down-sampled back to  $1 \times 1 \times 1$  mm<sup>3</sup> 787 The advantage of using distance maps is the much lower memory de-788 mand and faster computation. 789

In the approach used here, segmentation images were directly 790 791 simulated to avoid influences from the segmentation algorithm. However, it is possible to simulate a T1 image based on the tissue 792 maps (Aubert-Broche et al., 2006), which would be useful for testing 793 other methodological approaches using this test suite. 794

#### Conclusion 795

In this paper, we have presented a new method that allows for the 796 simultaneous reconstruction of the CS and measurement of cortical 797 thickness. Our PBT method is based on (probability) maps of a standard 798 CSF-GM-WM tissue segmentation and has several advantages over the 799 800 previous methods, such as direct estimation of the CS, comparable or lower errors, and fewer topological defects. We introduce a framework 801 for thoroughly validating methods developed for surface reconstruction 802 803 and thickness estimation, which quantifies the performance of the methods over a wide range of thickness levels and other parameters 804 such as sampling resolution, noise, curvature, and PVE. The test frame-805 806 work explores performance both for the simple case of a sphere and also for nearly normal folded cortices with uniform thickness. Finally, 807 we used real MR images from several scans of the same subject to com-808 pare both methods to FreeSurfer. The results indicate that the quality of 809 810 our CS reconstructions and thickness estimations is comparable, and may be superior in certain respects, to other methods. 811

#### Acknowledgments 812

This work was supported by the following grants: BMBF 01EV0709 813 814 and BMBF 01GW0740.

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Please cite this article as: Dahnke, R., et al., Cortical thickness and central surface estimation, NeuroImage (2012), http://dx.doi.org/10.1016/ j.neuroimage.2012.09.050

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Please cite this article as: Dahnke, R., et al., Cortical thickness and central surface estimation, NeuroImage (2012), http://dx.doi.org/10.1016/ j.neuroimage.2012.09.050